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| APPLICATION NO. |        | FILING DATE               | FIRST NAMED INVENTOR       | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|-----------------|--------|---------------------------|----------------------------|-------------------------|------------------|--|
| 10/773,106      |        | 02/05/2004                | William J. Ketcherside JR. | 15226.25.1              | 3980             |  |
| 22913           | 7590   | 08/24/2006                |                            | EXAMINER                |                  |  |
| WORKMA          |        |                           | POPE, DARYL C              |                         |                  |  |
| 60 EAST S       |        | N NYDEGGER & SEE<br>EMPLE | LEY)                       | ART UNIT                | PAPER NUMBER     |  |
| 1000 EAGI       |        |                           |                            | 2612                    |                  |  |
| SALT LAK        | E CITY | , UT 84111                |                            | DATE MAILED: 08/24/2006 |                  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | •  |  |       |
|--|--|--|-------|
|  | Application No.  | Applicant(s)   |       |
|  | 10/773,106   | KETCHERSIDE ET AL.   |       |
| Office Action Summary  | Examiner   | Art Unit   |       |
|  | DARYL C. POPE  | 2612   | _     |
| The MAILING DATE of this communication a Period for Reply  | appears on the cover sheet w   | ith the correspondence address   |       |
| • •  |  |  | · •   |
| A SHORTENED STATUTORY PERIOD FOR REF WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory peri  - Failure to reply within the set or extended period for reply will, by stated any reply received by the Office later than three months after the material parent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUNI<br>1.136(a). In no event, however, may a<br>lod will apply and will expire SIX (6) MOI<br>tute, cause the application to become A | CATION. reply be timely filed  NTHS from the mailing date of this communical BANDONED (35 U.S.C. § 133). |       |
| Status   |  |  |       |
| 1)⊠ Responsive to communication(s) filed on 27   | 7 lune 2006  |  |       |
|  | his action is non-final.   |  |       |
| 3) Since this application is in condition for allow  |  | ters, prosecution as to the merits   | is    |
| closed in accordance with the practice unde  |  |  | 10    |
|  | pa   | , 100 0.0. 2.0.  |       |
| Disposition of Claims  |  |  | •     |
| 4)⊠ Claim(s) <u>1-32</u> is/are pending in the application   | on.  |  |       |
| 4a) Of the above claim(s) is/are withd   | rawn from consideration.   | ·  | •     |
| 5) Claim(s) is/are allowed.  | ,  |  |       |
| 6)⊠ Claim(s) <u>1-32</u> is/are rejected.  |  |  |       |
| 7) Claim(s) is/are objected to.  |  |  |       |
| 8) Claim(s) are subject to restriction and   | d/or election requirement.   |  |       |
| Application Papers   | • •  |  |       |
| 9) The specification is objected to by the Exami   | iner   | ·  |       |
| 10) The drawing(s) filed on is/are: a) a   |  | by the Examiner  |       |
| Applicant may not request that any objection to the  |  |  |       |
| Replacement drawing sheet(s) including the corre   | <b>3</b> ( )   |  | l(d). |
| 11) The oath or declaration is objected to by the  |  |  |       |
| Priority under 35 U.S.C. § 119   |  |  |       |
| <u> </u>   |  |  |       |
| 12) Acknowledgment is made of a claim for foreign  | gn priority under 35 U.S.C. §  | § 119(a)-(d) or (f).   |       |
| a) ☐ All b) ☐ Some * c) ☐ None of:   |  |  |       |
| 1. Certified copies of the priority docume   |  | •  |       |
| 2. Certified copies of the priority docume   |  |  |       |
| 3. ☐ Copies of the certified copies of the pr  | <del>-</del>   | received in this National Stage  |       |
| application from the International Bure  | ` ' ''   |  | •     |
| * See the attached detailed Office action for a li   | ist of the certified copies not  | received.  | ,     |
|  |  |  |       |
| Mark 4/-)  |  |  | •     |
| Attachment(s) )  Notice of References Cited (PTO-892)  | ,<br>  |  |       |
| Notice of References Cited (P10-892)   |  | Summary (PTO-413)<br>s)/Mail Date  |       |
| Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0  | 08) 5) 🔲 Notice of I   | nformal Patent Application (PTO-152)   |       |
| Paper No(s)/Mail Date <u>6/27/06</u> .   | 6)  Other:   | · · · · · · · · · · · · · · · · · · ·  |       |

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#### **DETAILED ACTION**

1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

### ART REJECTION:

## Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- Claims 1-8,10-17, and 19-32 are rejected under 35 U.S.C. 102(e) as being 3. anticipated by Rosenfeld et al(6,804,656).
- -- In considering claim 1, the claimed subject matter that is met by Rosenfeld et al(Rosenfeld) includes:
- 1) the receiving the clinical data from the clinical system on an inbound data interface is met by the Command center/remote location(202) receiving patient information from the ICU(204)(see: column 19, lines 34-50);
- 2) the storing the clinical data in an expert system database is met by the database server/data warehousing functionality(208) which store amassed patient information(See: column 19, lines 2-8);

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- 3) the processing the clinical data in the expert system is met by the support algorithms which process the stored data and produce treatment information(see: column 19, lines 8-13);
- 4) the generating an alert based on results of processing the clinical data and sending the alert to the clinical system is met by the physician requesting treatment guidelines for critically ill patients at the user interface(484), and results of the request being to the interface(484) via the order writing assignment manager(496) after processing(see: fig. 14, column 22, lines 15-36).
- -- With regards to claim 2, the receiving audit information on an audit interface and updating the audit log in the expert system is met by the database server/warehouse functionality(208) amassing information pertaining to conditions, treatments, outcomes, and other information of a statistical nature which would have constituted audit information and update of an audit log, since the warehouse(208) would have logged all information, actions, and updates(see: column 19, lines 4-19).
- -- With regards to claim 3, the receiving a request from the clinical system, displaying an alert from the expert system receiving an update of clinical data at the expert system, and sending the update of the clinical data to the clinical system is met, as discussed in claim 1, by the physician resources(486) which allows physicians at the ICU(204) to input requests via user interface(484), receive results from assignment manager(496), and as well allows physicians to re-enter data to by processed by the diagnostic algorithm for further results to be displayed to the physician(see: column 22, lines 15-36).

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- -- Claim 4 recites subject matter that is met as discussed in claim 2 above(see: column 19, lines 4-19).
- -- Claim 5 recites subject matter that is met as discussed in claim 3 above.
- -- Claim 6 recites subject matter that is met as discussed in claim 2 above.
- -- Claim 7 recites subject matter that is met as discussed in claim 1 above.
- -- Claim 8 recites subject matter that is met as discussed in claim 3 above.
- -- With regards to claims 10-12, the structuring messages according to proprietary message definitions, and the alert according to HL7 protocol is met by the information pertaining to patients being structured according to HL7 protocol(see: column 19, lines 34-45).
- -- With regards to claim 13, the receiving clinical data from an interface engine disposed on a clinical module is within a clinical system is met by request data being received from a user interface(484) which allows a physician access to physician resources(486).
- -- With regards to claim 14, the computer readable medium having computer executable instructions is met by the Headquarters(200) comprising application server(238), NT file server(240) and Sun SPARC Enterprise(250) which provides storing and serving information such as practice guidelines, algorithms(see: column 19, lines 58 et seq; column 20, lines 1-9).
- -- Claims 15-17 recite subject matter that is met as discussed in claims 1-3 above, respectively.
- -- Claims 19-21 recite subject matter that is met as discussed in claims 10-12 above.

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- -- Claim 22 recites subject matter that is met as discussed in claim 14 above.
- -- Claims 23-27, and 31-32 recite subject matter that is met as discussed in claims 1-3 above.
- -- Claims 28-30 recite subject matter that is met as discussed in claims 10-12 above.

# Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 9 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rosenfeld et al(Rosenfeld).
- -- With regards to claims 9 and 18, it would have been obvius that the alerts would have comprised data elements having standard identifiers attached to at least a portion of the elements, since information transmitted between the ICU's and the command center would have included patient specific information which would have required some form of data identification means attached to the data to ensure that the information would have been associated with each specific patient.

### REMARKS:

### Response to Arguments

6. Applicant's arguments with respect to claims 1-32 have been considered but are moot in view of the new ground(s) of rejection.

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### Conclusion

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to DARYL C. POPE whose telephone number is 571-272-2959. The examiner can normally be reached on M-TH 9:00-7:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, MIKE HORABIK can be reached on 571-272-3068. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Daryl C. Pope

August 20, 2006

DARYL C POPE Primary Examiner

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